

### DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am a joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LOCK WITH INTERNAL RETAINER

the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority  
Claimed

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed) ☐ Yes ☐ No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed) ☐ Yes ☐ No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed) ☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Ser. No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status - patented, pending, abandoned)

\_\_\_\_\_  
(Application Ser. No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status - patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 05 JULY 2001

Full name of inventor

Residence

Citizenship

Post Office Address

Aaron B. Lian

Aaron B. Lian

Beaverton, Oregon

USA

7272 SW 161<sup>st</sup> Place

Beaverton, OR 97007

Dated: 05 JUL 01

Full name of inventor

Residence

Citizenship

Post Office Address

Christopher M. Carpenter

Christopher M. Carpenter

Tualatin, Oregon

USA

19047 SW Chesapeake Drive

Tualatin, OR 97062

Dated: 29 MAY 2001  
Full name of inventor

Residence  
Citizenship  
Post Office Address

Dated: 5 JULY 01  
Full name of inventor  
Residence  
Citizenship  
Post Office Address

Emory D. Hungerford, Deceased

By: Lorraine B. Hungerford  
Title: Executrix  
Yachats, Oregon  
USA  
P.O. Box 483  
Yachats, OR 97498

Ray J. Morris  
Ray J. Morris  
Boring, Oregon  
USA  
28455 SE Halsey Road  
Boring, OR 97009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
STATEMENT UNDER 37 CFR §3.73(b)

Applicants: Aaron B. Lian et al.

Application No: \_\_\_\_\_ Filed: Concurrently Herewith  
(Cont. of 09/900,834)

Title: LOCK WITH INTERNAL RETAINER

Assignee: ESCO Corporation, an Oregon corporation  
(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is the assignee of the entire right, title and interest in  
the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application  
identified above. The assignment was recorded in the Patent and  
Trademark Office at Reel 011973, Frame 0775, or for which a copy  
thereof is attached.

OR

B. ☐ a chain of title from the inventor(s), of the patent application  
identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is  
attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is  
attached.

☐ Additional documents in the chain of title are listed on a  
supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are  
attached. (Where one or more of the documents is unrecorded.)

The undersigned (whose title is supplied below) is empowered to sign  
this Statement on behalf of the assignee.

Date: July 8, 2003

Name: Donald B. Haslett

Title: Attorney for Assignee (copy of Power of Attorney is attached)

Signature: \_\_\_\_\_

Chernoff, Vilhauer, McClung & Stenzel, LLP  
1600 ODS Tower  
601 S.W. Second Avenue  
Portland, Oregon 97204-3157  
Tel: (503) 227-5631

(Customer No. 000152)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

PATENT EXAMINING OPERATIONS

Applicant: Lian, et al.

Group Art Unit:

Serial No:

Examiner:

Filed: Concurrently Herewith

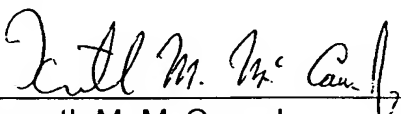
Title: LOCK WITH INTERNAL RETAINER

POWER OF ATTORNEY

I, Kenneth M. McCaw, Jr., declare that I am the Vice President and General Counsel of ESCO Corporation, which is the owner of all right, title and interest in the above-referenced patent application and hereby appoint Jacob E. Vilhauer, Jr., Reg. No. 24,885, Charles D. McClung, Reg. No. 26,568, Dennis E. Stenzel, Reg. No. 28,763, Donald B. Haslett, Reg. No. 28,855, J. Peter Staples, Reg. No. 30,690, William O. Geny, Reg. No. 27,444, Kevin L. Russell, Reg. No. 38,292, Nancy J. Moriarty, Reg. No. 40,733, Bruce W. DeKock, Reg. No. 40,585 and Timothy A. Long, Reg. No. 28,876 all members of the firm of CHERNOFF, VILHAUER, McCLUNG & STENZEL, 1600 ODS Tower, 601 S.W. Second Avenue, Portland, Oregon 97204, telephone No. (503) 227-5631, its attorneys, jointly and individually, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: May 29, 2001

  
Kenneth M. McCaw, Jr.  
Title: Vice President and General Counsel  
ESCO Corporation